# **REPORT TO THE GOVERNOR AND THE GENERAL ASSEMBLY**

**Implementation of Missouri Nosocomial Infection Reporting Act of 2004** 

Revised RSMo 192.667 19 CSR 10-33.050

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**Department of Health and Senior Services** 

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#### **Executive Summary**

### **Background**

In 2004, the Missouri legislature passed Senate Bill 1279, establishing the "Missouri Nosocomial Infection Reporting Act of 2004." The law requires hospitals and ambulatory surgical centers (ASCs) to report specific categories of healthcare-associated infections (HAIs) to the Department of Health and Senior Services (DHSS). This report summarizes the data and describes the development of the infection data collection system and the public reporting site.

### **Data Collection**

The infections selected for monitoring by hospitals include ventilator-associated pneumonias (VAPs), central line-associated bloodstream (CLAB) infections, and surgical site infections (SSIs) associated with procedures for abdominal hysterectomy, hip repair and bypass surgery. ASCs must report SSIs associated with procedures for hernia repair and breast surgery. Hospital intensive care units (ICUs) have been reporting CLAB infections to the department since July 2005. Collection of data on SSIs from hospitals and ASCs began in January 2006. Reporting of VAPs has yet to begin. The DHSS and its advisory panel are researching the kind of data that would be both reliable and useful to the public.

### **Reporting to the Public**

The DHSS has developed an interactive public website. The website initially will report the CLAB infection rates for July 2005-March 2006. A full 12 months of data will be displayed in the spring of 2007. As each new quarter of SSI and CLAB data become available, the earliest quarter will be deleted and the latest quarter will be added to form the most current 12 months of data for viewing.

#### **Data Summary**

A total of 114 ICUs from 77 hospitals reported CLAB infection data during the initial reporting period. Seven hospital ICUs had rates that were significantly higher than the state or national rate. Seventeen ICUs had rates that were significantly lower than the state or national rate. The average *number* of infections for an ICU ranged from 1.9 to 8.7. The average infection *rate* ranged from 1.8 per 1000 central line days for coronary ICUs to 5.7 per 1000 central line days for pediatric ICUs.

## **Cautions**

HAI rates for individual hospitals are affected by each hospital's level of resources and commitment to infection control, as well as the care with which they collect and report their data. Also, a facility that treats severely ill patients will naturally be at higher risk of HAIs. A consumer who is trying to select a facility for healthcare should consider the experience of the staff, the advice of their physician, and all other factors that are unique to his or her situation, in addition to the infection data reported on this website.

#### Next Steps

Once the appropriate VAP measures are selected and routinely reported, they will enhance the consumer's ability to make good choices about their healthcare.

### Report to the Governor and the General Assembly Implementation of the Missouri Nosocomial Infection Reporting Act of 2004 Revised RSMo 192.667 19 CSR 10-33.050

# **Background**

Healthcare-Associated Infections (HAIs), also known as nosocomial infections, are infections that occur while patients are in a healthcare setting. Because of the seriousness of their conditions, patients treated in intensive care units (ICUs) have an especially high risk of HAIs. HAIs can seriously aggravate a patient's illness, lengthen hospital stays, and, in some instances, spread to other individuals. HAIs continue to be a major public health problem in the United States. 'The Guidance on Public Reporting of Healthcare-Associated Infections,' published by the Healthcare Infection Control Practices Advisory Committee (HICPAC) in 2005, states that in hospitals alone, HAIs account for an estimated 2 million infections, 90,000 deaths and \$4.5 billion dollars in excess healthcare costs annually.

In 2004, the Missouri legislature passed Senate Bill 1279, establishing the "Missouri Nosocomial Infection Reporting Act of 2004." The intent of the law is to establish conditions that will lead to a decrease in HAIs in Missouri. Among other stipulations, the law requires hospitals and ambulatory surgical centers (ASCs) to report specific categories of HAIs to the Department of Health and Senior Services (DHSS).

The law also requires the DHSS to submit a report to the governor and members of the general assembly. According to section 14, "Reports published pursuant to subsection 12 of this section shall be published on the department's Internet website. The initial report shall be issued by the department not later than December 31, 2006. The reports shall be distributed at least annually to the governor and members of the general assembly." Rather than including copies of every table from the website (www.dhss.mo.gov/HAI), this report summarizes the data and presents and explains representative tables. This report also describes the development of the infection data collection system and the public reporting site.

# **Data Collection**

Procedures and HAIs are reported to the DHSS according to 19 CSR 10-33.050, which became effective July 30, 2005. The reporting rule was promulgated under the authority of the revised statute that mandates data reporting by hospitals and ASCs (RSMo 192.667).

To develop the data collection system, the DHSS worked with the Centers for Disease Control and Prevention (CDC), the Missouri Hospital Association, the Missouri Ambulatory Surgery Center Association and an advisory panel. The advisory panel includes a statistician, a microbiologist and representatives of consumers, physicians, infection control professionals and regulators.

Since October 2004, four meetings have been held with the advisory panel to discuss which procedures and infections should be monitored. Because it was not feasible in the required time frame to collect and report data on all HAIs, a step-wise approach was taken. Infections related to a few serious procedures were chosen for reporting. The selected infections are monitored by

the CDC, they tend to be reported by all types of facilities, and they are good indicators of problems a facility may have with other types of infections. There is consensus on how these infections are identified and counted, and there are accepted methods that a hospital can use to reduce the frequency of these infections.

Reporting differs for hospitals and ASCs. The infections selected for monitoring by hospitals include ventilator-associated pneumonias (VAPs), central line-associated bloodstream (CLAB) infections, and surgical site infections (SSIs) associated with procedures for abdominal hysterectomy, hip repair and bypass surgery. ASCs must report SSIs associated with procedures for hernia repair and breast surgery. To provide denominators for the infection rates, facilities must report each of the selected procedures regardless of whether an infection occurs in conjunction with a particular procedure. Because patients in intensive care units are particularly at risk of HAIs, hospital reporting of CLAB infections is done for one or more of six specific intensive care units (ICUs): medical, surgical, medical/surgical, coronary, neonatal and pediatric. The SSIs are reported by facility rather than ICU.

To ensure that the data being collected are reliable, the DHSS established reporting requirements for the facilities. In establishing the requirements for hospitals, Missouri has followed the lead of the CDC. For CLAB infections, only hospitals that had at least 50 central line-days in the prior year must report during the current year. For SSIs, hospitals and ASCs must report if they performed at least 20 of the specific surgeries in the prior year.

Because the reporting rule allows hospitals to report either to the CDC or to the DHSS, the DHSS worked with the Information Technology Services Division to develop an internal system for gathering facility data--the Missouri Healthcare-Associated Infection Reporting System (MHIRS). MHIRS is a web-based system that allows facilities to enter HAI data directly into a DHSS database each month (See Appendix 1 for a sample hospital reporting form).

Registration for MHIRS reporting by hospitals and ASCs occurs annually in March-April. Facilities report their primary and secondary contacts, the number of central line-days per ICU and the number of reportable surgeries during the preceding calendar year. After the registration period, the DHSS determines which facilities will be required to report on each of the specified ICUs and surgeries.

Hospitals have been reporting CLAB infections to the department since July 2005. Each month hospitals report the number of CLAB infections in each ICU, as well as the number of patients per day on a central line. Collection of data on SSIs from hospitals and ASCs began in January 2006. Reporting of VAPs has yet to begin. Because hospitals do not use a standard method of diagnosing VAPs, the DHSS and its advisory panel are researching the kind of data that would be both reliable and useful to the public.

## **Reporting to the Public**

At the end of the 12-month reporting period, and before the data are made public, the DHSS arranges for each facility to review its data as it will be displayed to the public. The facilities check the data for accuracy and are also invited to submit comments for the viewing public. To report HAI data to the public, the DHSS has developed an interactive public website. The site

imports data directly from the MHIRS database and formats it for display based on the user's choice of region of the state, facility type and HAI category.

The website initially will report the CLAB infection rates for July 2005-March 2006. Due to the time and effort required for the many activities involved (determining which infections and procedures should be monitored, writing the rule, programming and testing both a data collection system and a web-based reporting system, and having the infection data reviewed by both the DHSS and the hospitals), only the first nine months of data could be readied by the December 31 deadline. A full 12 months of data will be displayed in the spring of 2007. SSI data that are currently being collected from the hospitals and ASCs will also be displayed at that time. As each new quarter of SSI and CLAB data become available, the earliest quarter will be deleted and the latest quarter will be added to form the most current 12 months of data for public viewing.

Page six of this report depicts the main page of the public reporting site. This page introduces users to the site and presents a brief overview of HAIs. A number of useful links are displayed: 'Related Links' connects the user to other sites that have information on HAIs; 'Healthcare-Associated Infections' provides expanded information on HAIs; 'Instructions for Using this Site' helps the user interpret the selection page and data tables; 'Definition of Terms' is a list of technical terms and their definitions; 'Frequently Asked Questions' presents background information in an easy-to-read format; 'Laws, Regulations and Manuals' links the user to RSMo 192.667 and related chapters and regulations, and allows the user to view the manuals and forms used by the facilities to report their data.

Page seven of this report is the main selection page. Users can choose to view results for all reporting ICUs for a hospital, or to view results for one of the six ICUs for all reporting hospitals in a region of the state. Passing the computer mouse over a region produces a list of the reporting hospitals. A link at the bottom of the page explains that hospitals do not appear on the list if they had too few central line-days to qualify for reporting.

Page eight of this report shows the 'Hospital Comparison' table. The table displayed is the result of selecting 'Neonatal' as the ICU and 'St Louis Metro-Southeast MO' as the region. Using small circular symbols, the CLAB infection rate for each hospital's ICU is compared to that of statewide and national reference groups. The symbols  $(\bigcirc, \bigcirc, \bigcirc, \bigcirc)$  indicate whether the ICU reported an infection rate that was similar to, higher than, or lower than, either the national rate as reported by the CDC, or the statewide rate for all reporting neonatal ICUs. Users can select the '[+]' to the left of the hospital's name to display the hospital's address, telephone number and web address. Selecting 'Comments' in the last column of the table will display any comments the hospital has submitted regarding its data. As described below, the aggregate infection data are displayed if the user selects 'Data' in the last column of the table.

Page nine of this report shows the result of selecting 'Data' for SSM Cardinal Glennon Children's Hospital. Cardinal Glennon reported 5825 central line-days (CLDs) and seven CLAB infections for the nine-month reporting period. This represents a rate of 1.2 infections per 1000 CLDs ( (7 X 1000)/5825). The CLAB rate for neonatal ICUs for all reporting hospitals was 2.9 per 1000 CLDs. The national rate for neonatal ICUs, as reported by the CDC in 2004,<sup>1</sup> was 6.4 per 1000 CLDs. The solid circles on page six indicate that Cardinal Glennon's rate was significantly lower than both the state rate and the national rate.

Users can also select a particular hospital to profile. Page ten of this report shows the profile for Lester E. Cox Medical Center South. Cox reported CLAB infection data for the four ICUs displayed. The solid circle indicates their surgical ICU had a CLAB infection rate that was lower than the national rate. When 'Data' for this ICU is selected, the statistics on page eleven are displayed. They show that Cox's CLAB infection rate was 1.2 per 1000 CLDs and the national rate was 4.6 per 1000 CLDs.

# **Data Summary**

A total of 114 ICUs from 77 hospitals reported CLAB infection data during the initial reporting period. Seven hospital ICUs had rates that were significantly higher than the state or national rate. Sixteen ICUs had rates that were significantly lower than the state or national rate.

Data for all reporting hospital ICUs are summarized in Table 1 on page twelve of this report. Seven pediatric and eight surgical ICUs were required to report by virtue of having at least 50 CLDs in the prior year. In contrast, 62 hospitals with medical/surgical ICUs had to report. The average *number* of infections ranged from 1.9 to 8.7. The average infection *rate* ranged from 1.8 per 1000 CLDs for coronary ICUs to 5.7 per 1000 CLDs for pediatric ICUs. Compared to the national rates reported by the CDC for prior years, all but the pediatric ICU rates were significantly lower. (The CDC rates represent hospitals that voluntarily submitted data to the CDC's nosocomial infection surveillance system; current rates from a representative national sample might be quite different.)

# **Cautions**

The results in Table 1 and the rates for individual hospitals on the public site are affected by each hospital's level of resources and commitment to infection control, as well as the care with which they collect and report their data. Beyond checking for obvious errors, the DHSS is not able to verify the numbers that the facilities submit each month, and it is likely that some facilities do a better job of reporting than others. On the other hand, it is to the facility's advantage to accurately diagnose and monitor all health care-associated infections. We believe most, if not all facilities, are guided by this philosophy.

A further consideration is that hospitals and ASCs vary in the types of patients they treat. A facility that treats severely ill patients will naturally be at higher risk for HAIs. In order to mitigate this bias, the DHSS has reported hospital results separately for each type of ICU. Additionally, results are reported according to the size of the hospital for those hospitals with medical/surgical ICUs, the most prevalent type of ICU. While these adjustments help to make the data between hospitals more comparable, users of the data should understand that these adjustments are not completely successful. A consumer who is trying to select a facility for healthcare should also consider the experience of the staff, the advice of their physician, and all other factors that are unique to his or her situation.

# <u>Next Steps</u>

The DHSS has collected SSI data from hospitals and ASCs since January of 2006. These data will be added to the public reporting site in the spring of 2007. As noted earlier, the DHSS is working with its advisory panel and other experts to determine what data should be collected for ventilator-associated pneumonias (VAPs). Once the appropriate VAP measures are selected and routinely reported, they will enhance the consumer's ability to make good choices about their healthcare.

DHSS Home   State Home   State of Missouri	<u>Ask Us</u>	Thursday, December 14, 2006
DEPARTMENT OF	F HEALTH AND SENIOR SERVICES	Search Search
	DHSS Home >> kstest >> noso_rel2 >> Healthcare-Associated Infection Reporting	
Home	Missouri Healthcare-Associated Infection Rep	porting
Associated Infections	Healthcare-Associated Infections (HAIs), also known as nosocomial infections,	=
<ul> <li>Instructions for Using this Site</li> </ul>	continue to be a major health problem in the United States. HAIs can be very serious, increasing the cost and length of your hospital stay and even threatening your life.	
<ul> <li>Infection Reporting Data</li> </ul>	In Missouri, hospitals and ambulatory surgery centers (ASCs) are required by <u>state law</u> and regulation to report selected HAI data. The reported infection data are available,	
Definition of Terms	by facility, on this web site. Currently, data are reported for one category of HAIs, referred to as central line-associated bloodstream (CLAB) infections. In the near future,	
Frequently Asked Questions	information on surgical site infections (SSI) will be added.	
<ul> <li>Laws, Regulations</li> </ul>	As a consumer, you should be proactive in your healthcare. The information on this site c	an help you to:
& Manuals	<ul> <li>Understand more about HAIs - what they are and why they occur.</li> </ul>	
Related Links	Be informed about hospital and ASC infection rates in Missouri.	
Contact Us	<ul> <li>Learn what you, as a patient, can do to lower your risk of a HAI.</li> </ul>	
	It should be emphasized that a facility's experience with HAIs is only one piece of i should consider in choosing a facility. The advice of your physician, the experience surgeons, and other factors unique to your situation need to be considered as well Please review the <u>Instructions for Using this Site. Definition of Terms</u> , and other infor	information that you of the hospitals and mation listed on the
	site previously, you may want to go directly to the <u>Infection Reporting Data</u> .	



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2006-11-17

# Central Line-Associated Bloodstream (CLAB) Infection Hospital Comparison

Location: Hospital Neonatal ICUs Region: St. Louis Metro - Southeast MO Reporting Period: 07/01/2005 - 03/31/2006

Main Page

Hospital Name	Hospital Infection Rate Compared with Similar Size Hospitals in Missouri	Hospital Infection Rate Compared with All Missouri Hospitals	Hospital Infection Rate Compared with Hospitals in U.S.	Hospital-Specific Information
Missouri Baptist Medical Center	N/A	Đ	•	<u>Data Comments</u>
Saint Francis Medical Center	N/A	0	0	Data Comments
Southeast Missouri Hospital	N/A	o	o	<u>Data</u> <u>Comments</u>
SSM Cardinal Glennon Children's Hospital	N/A	•	•	Data Comments
	N/A	Ŷ	•	<u>Data</u> <u>Comments</u>
E St. John's Mercy Medical Center	N/A	•	•	Data Comments
E St. Louis Children's Hospital	N/A	0	Đ	<u>Data</u> <u>Comments</u>

• Infection rate lower than other facilities in the comparison group

 $\Theta_{=}$  Infection rate similar to other facilities in the comparison group

- $O_{=}$  Infection rate higher than other facilities in the comparison group
- N/A = Too few facilities in the comparison group for reliable rate calculation

Note: The above comparisions are based on significance tests.

 $\bullet$  = Click on this symbol to expand or close information on the facility.

# Healthcare-Associated Infection Reporting

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#### Central Line-Associated Bloodstream (CLAB) Infection Infection Rates

Location: SSM Cardinal Glennon Children's Hospital ICU Region: St. Louis Metro - Southeast MO Reporting Period: 07/01/2005 - 03/31/2006

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2006-12-15

Intensive Care Unit (ICU)	<u>Central Line-Days</u> <u>(CLDs)</u>	Number of Hospital Infections	Hospital Infection Rate (per 1000 <u>CLDs</u> )	Rate for Similar Size Hospitals (per 1000 <u>CLDs</u> )	Statewide Rate (per 1000 <u>CLDs)</u>	National Rate (per 1000 <u>CLDs</u> )
NEONATAL	5825	7	1.2	N/A	2.9	6.4

 $\ensuremath{\mathsf{N/A}}\xspace$  = Too few facilities in the comparison group for reliable rate calculation

Note: When the infection rate for a hospital is higher/lower than a comparison group rate, the difference may not be <u>statistically significant</u>. Return to previous page to view performance of the hospital.

# Healthcare-Associated Infections Reporting

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2006-11-13

# Lester E Cox Medical Center South Profile

Facility Name: Lester E Cox Medical Center South Region: Southwest MO Reporting Period: 07/01/2005 - 03/31/2006 Main Page

#### Central Line-Associated Bloodstream (CLAB) Infections

Intensive Care Unit (ICU)	Hospital Performance Compared with Similar Size Hospitals in Missouri	Hospital Performance Compared with All Missouri Hospitals	Hospital Performance Compared with Hospitals in U.S.	Hospital-Specific Information
MEDICAL	N/A	e	Đ	<u>Data</u> <u>Comments</u>
PEDIATRIC	N/A	e	Đ	Data Comments
SURGICAL	N/A	e	•	<u>Data</u> <u>Comments</u>
NEONATAL	N/A	Đ	Đ	Data Comments

= Infection rate lower than other facilities in the comparison group

 $\Theta$ = Infection rate similar to other facilities in the comparison group

 $O_{=}$  Infection rate higher than other facilities in the comparison group

N/A = Too few facilities in the comparison group for reliable rate calculation

# Healthcare-Associated Infection Reporting

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#### Central Line-Associated Bloodstream (CLAB) Infection Infection Rates

Location: Lester E Cox Medical Center South ICU Region: Southwest MO Reporting Period: 07/01/2005 - 03/31/2006

#### <u>Previous Page, Main Page</u>

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2006-12-15

Intensive Care Unit (ICU)	<u>Central Line-Days</u> (CLDs)	Number of Hospital Infections	Hospital Infection Rate (per 1000 <u>CLDs</u> )	Rate for Similar Size Hospitals (per 1000 <u>CLDs</u> )	Statewide Rate (per 1000 <u>CLDs)</u>	National Rate (per 1000 <u>CLDs</u> )
SURGICAL	1695	2	1.2	N/A	2.7	4.6

 $\ensuremath{\mathsf{N/A}}\xspace$  = Too few facilities in the comparison group for reliable rate calculation

Note: When the infection rate for a hospital is higher/lower than a comparison group rate, the difference may not be <u>statistically significant</u>. Return to previous page to view performance of the hospital.

### Table 1

# Central Line-Associated Bloodstream Infection Summary by Intensive Care Unit July 2005- March 2006 Reporting Period

		Mean #	Mean # of	State	
Intensive	# of	of	Central Line	Infection	U.S.
Care Unit	Hospitals	Infections	Days	Rate	Rate <sup>1</sup>
Coronary	11	1.9	1030	1.8*	3.5
Medical/Surgical	62	2.8	1020	2.7*	3.6
a. under 100 beds	11	7.2	2270	2.6	
b. 100-299 beds	33	2.5	880	2.9	
c. 300+ beds	18	0.6	211	2.9	
Medical	11	3.5	1358	2.5*	5.0
Surgery	8	5.6	2113	2.7*	4.6
Neonatal	15	6.8	2324	2.9*	6.4
Pediatric	7	87	1536	57	6.6
	'	0.7	1550	5.7	0.0

1. National Nosocomial Infections Surveillance (NNIS) System Report, data summary from January 1992 through June 2004, issued October 2004. Am J Infect Control 2004; 32:470-485.

\* Significantly different from the U.S. rate.

Note: The infection rate is the number of infections per 1000 central line days. Intensive care units are in order by infection rate.

# Appendix 1

me Contacts/Exemptions	CLAB Reporting	SSI Reporting	Administration	
ssouri Healthcare-Associ	ated Infection Reporting	System (MHIRS)	CLAB Manual	
AB Reporting for: Year 2009	5 🔽 Month JULY	1		
nsive Care Unit	Number of Centra	al Line Days	Number of CLAB Infections	
nary	0		0	
ical	0		0	
jical	0		0	
ical/Surgical	0		0	
atric	0		0	
J	Umbilical Catheter/Ce	entral Line Days	Number of NICU Infections	
Less than 750gms	0		0	
751 - 1000 gms	0		0	
1001 - 1500gms	0		0	
1501 - 2500 gms	0		0	
- Greater than 2500 gms	0		0	
Save Print Report	Reset Report			